



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bakos et al. Art Unit: 1774  
Application No.: 10/649,504 Examiner: Mulvany  
Filing Date: August 26, 2003  
Title: Directory Read Inhibitor for Optical Storage Media

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION UNDER 37 CFR § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7/19/2004  
Date of Signature and  
of Mail Deposit

Marijke Abbes  
Marijke Abbes

**INFORMATION DISCLOSURE STATEMENT**

Commissioner:

Applicants submit herewith the references on the attached form PTO-1449. The above-identified patent application was filed after June 30, 2003, therefore, copies of the cited references are not enclosed as the requirement under 37 CFR 1.98(a)(2)(i) has been waived. This information disclosure statement is being filed under 37 C.F.R. § 1.97 (c) (1), after the mailing of a first Office Action on the merits, but before the mailing date of either a Notice of Allowance or a Final Office Action. The fee of \$180.00 should be charged to Deposit Account No. 08-0217.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to the patentability of the invention, or that the listed documents are prior art. Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application. If it should be determined that any of the listed

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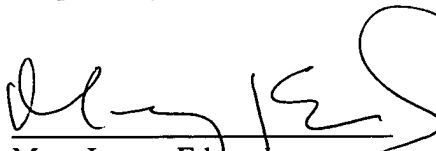
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documents constitute prior art under United States law, Applicants reserve the right to present to the Office relevant facts and law regarding the significance of such documents to the patentability of the claimed invention.

It is respectfully requested that the Examiner initial and return copies of the enclosed form PTO-1449 with the next Patent Office communication.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

  
Mary Joanna Edwards  
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Date: July 19, 2004  
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INFORMATION DISCLOSURE  
IN AN APPLICATION

(Use several sheets if necessary)

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1774

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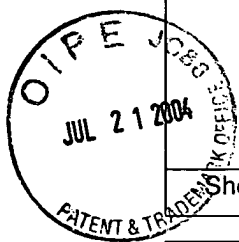
## U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,237,211	12/02/1980	Fanselow	430	338	
	4,380,769	04/19/1983	Thomas et. Al.	346	135.1	
	4,452,288	06/05/1984	Shaw	144	208	
	4,478,768	10/23/1984	Takeoka et al.	264	1.4	
	4,527,173	07/02/1985	Gupta et al.	346	135.1	
	4,530,706	07/23/1985	Jones	096	117.5	
	4,542,288	09/17/1985	Drexler	235	487	
	4,578,690	03/25/1986	Veillette et al.	503	212	
	4,584,641	04/22/1986	Guglielmino	713	200	
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	4,886,162	12/12/1989	Ambrogio	206	710	
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	5,028,109	07/02/1991	Lawandy	359	328	
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	5,053,339	10/01/1991	Patel	436	002	
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	5,183,763	02/02/1993	Mallow et al.	436	106	
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	5,185,233	02/09/1993	Santo	430	270.1	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.



Subt. Form PTO-1449				Docket Number 109960.124US3		Application Number 10/649,504	
<b>INFORMATION DISCLOSURE IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>				Applicant <b>Bakos, et al.</b>			
				Filing Date <b>August 26, 2003</b>		Group Art Unit <b>1774</b>	
Sheet	<b>2</b>	OF	<b>3</b>				

	5,228,440	07/20/1993	Chung et al.	600	338	
	5,267,311	11/30/1993	Bakhoun	705	056	
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	5,322,747	06/21/1994	Hugle	430	001	
	5,336,531	08/09/1994	Nakayama et al.	427	510	
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	5,373,499	12/13/1994	Imaino et al.	369	275.4	
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	5,400,319	03/21/1995	Fite	369	275.5	
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	5,453,968	09/26/1995	Veldhuis et al.	369	047.12	
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	5,507,947	04/16/1996	Kriegl	210	365	
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	5,570,379	10/29/1996	Sasaki	714	775	
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	5,587,984	12/14/1996	Owa et al.	369	103	
	5,592,454	01/07/1997	Tobita et al.	369	053.36	
	5,598,399	01/28/1997	Ogihara et al.	369	275.2	
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	5,636,292	06/03/1997	Rhoads	382	232	
	5,644,566	07/01/1997	Nakayama et al.	369	275.2	
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	5,935,673	08/10/1999	Mueller	428	064.1	
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	6,511,728	01/28/2003	Bakos et al.	428	064.1	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)
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